

Express Mail Label No. EL598673360US

Docket No.: END9 1995 0140 US2

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission:

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: SYSTEM AND METHOD FOR BUSINESS PROCESS SPACE DEFINITION

and invented by: EDWARD BARNES BODEN, DENNIS GREGORY GEISER, AND FRANK VINCENT PAXHIA

**ASSIGNEE NAME:** International Business Machines Corporation  
**ASSIGNEE RESIDENCE:** Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part(CIP) of prior application  
No.: 09/046,120 FILED 20 mar 1998.

Enclosed are:

**Application Elements**

01. ☒ Filing fee as calculated and transmitted as described below
02. ☒ Specification having 63 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (as amended)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
03. ☒ Drawing(s) when necessary as prescribed by 35 USC 113)
  - a. ☐ Formal
  - b. ☒ Informal

Number of sheets: 8

01/09/01

01-10-01

A

JC985 U.S. PTO

JC841 U.S. PTO  
09/756931  
01/09/01

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**  
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Total Pages this Submission:3

**Application Elements (Continued)**

04. ☒ Oath or Declaration

- a. ☐ Newly executed (original or copy) ☐ Not executed
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney

05. ☒ Incorporation By Reference (usable if Item 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.

06. ☐ Computer Program in Microfiche (Appendix)

07. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

08. ☐ Assignment Papers (cover sheet & document(s))

09. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS citation

12. ☒ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Label No: EL598673360US)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

**NEW UTILITY PATENT APPLICATION TRANSMITTAL****(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission: 3

**Accompanying Application Parts (Continued)**16. ☐ Additional Enclosures (identify below)**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	27	-20	7	x \$18.00	\$ 126.00
Indep. Claims	15	- 3	12	x \$80.00	\$ 960.00
Multiple Dependent Claims _____					\$
<b>BASIC FEE</b>					\$ 710.00
Other FEE (Specify purpose)					\$
<b>TOTAL FILING FEE</b>					\$ 1,796.00

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.☒ Charge the amount of \$1,796.00 as filing fee.☒ Credit any overpayment.☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).Dated: 8 Jan 2001

Shelley M. Beckstrand  
signature

Shelley M Beckstrand, Attorney  
Reg. No. 24,886314 Main Street  
Owego, NY 13827-1616  
Phone: (607) 687-9913

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Applicant : E. B. Boden, et al.

Serial No. : N/A

Filed : Herewith

Group : 2162

Examiner : R. Alvarez

Entitled : System and Method for Business Process Space Definition

Assistant Commissioner For Patents  
Washington, D.C. 20231



EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EL598673360US

Date of Deposit: 9 Jan 2001

I hereby certify that the following attached paper or fee

New Utility Patent Application Transmittal

Specification: 63 pages (including claims)

Declaration and Power of Attorney (copied from parent application, S/N 09/046,120)

Drawings (8 sheets)

Express Mail Certificate

Preliminary Amendment

Information Disclosure Statement

PTO Form 1449 (revised) copies of references

Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Judith Anne Beckstrand

(Name of person mailing paper or fee)

Judith Anne Beckstrand

(Signature of person mailing paper or fee)